

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/020,098	12/18/2001	Toshiaki Tanaka	016887-1056

CONFIRMATION NO. 9934

FORMALITIES LETTER



OC000000007689758

Johnny A. Kumar
FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, DC 20007-5109

Date Mailed: 03/21/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/20/2002 SMINASS1 00000086 10020098

FILED UNDER 37 CFR 1.53(b)

01 FC:401	740.00 DP
02 FC:402	84.00 DP
03 FC:405	130.00 DP
04 FC:409	130.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$1000. \$1084.00**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text)

see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A copy of this notice MUST be returned with the reply.

C. J. Harris

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

[illegible]



MP#
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Toshiaki TANAKA
Title: Method And Apparatus For
Extracting Text Information From
Moving Image

Appl. No.: 10/020,098

Filing Date: 12/18/2001

Examiner: Unassigned

Art Unit: Unassigned

**TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION**

Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on March 21, 2002, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- [X] English Translation of the Specification, Claims, and Abstract (24 pgs.) with Translator's Declaration (1 pg.)
- [X] Transmittal of Formal Drawings
- [X] Formal Drawings (9 sheets, Figs. 1-10)
- [X] Japanese Language Declaration and Power of Attorney (3 pgs.)
- [X] Return Copy of Notice to File Missing Parts (2 pgs.)

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$740.00	\$740.00
Total Claims:	18	- 20	= 0	x \$18.00	= \$0.00
Independents:	4	- 3	= 1	x \$84.00	= \$84.00
If any Multiple Dependent Claim(s) present:				+ \$280.00	= \$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee				+ \$130.00	= \$130.00
				SUBTOTAL:	= \$954.00
[] Small Entity Fees Apply (subtract 1/2 of above):					= \$0.00
				TOTAL FILING FEE:	= \$954.00
Processing Fee under 37 CFR 1.17(k) for Late Filing of English Translation of Application:				+ \$130.00	= \$130.00
				TOTAL FEE	= \$1,084.00
Difference to pay:				- \$0.00	- \$1,084.00

[X] Check in the amount of \$1,084.00 in payment of surcharge fee (37 C.F.R. § 1.16(e))

[] Please charge Deposit Account No. 19-0741 in the amount of \$0.00 in payment of surcharge fee (37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

By Johnny A. Kumar

Date May 17, 2002

FOLEY & LARDNER
Customer Number: 22428



22428

PATENT TRADEMARK OFFICE

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Johnny A. Kumar
Attorney for Applicant
Registration No. 34,649

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Toshiaki TANAKA
Title: Method And Apparatus For Extracting Text Information
From Moving Image
Appl. No.: 10/020,098
Filing Date: 12/18/2001
Examiner: Unassigned
Art Unit: Unassigned
Confirmation No.: 9934

TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents
Washington, D.C. 20231

ATTENTION: DRAWING REVIEW BRANCH

Sir:

Transmitted herewith are the formal drawings (9 sheets, Figures 1-10) for the above-identified application. The Official Draftsperson is respectfully requested to approve these drawings for entry into the application.

Respectfully submitted,

Date May 17, 2002

FOLEY & LARDNER
Customer Number: 22428



22428

PATENT TRADEMARK OFFICE

Telephone: (202) 672-5489
Facsimile: (202) 672-5399

By

Johnny A. Kumar

Johnny A. Kumar
Attorney for Applicant
Registration No. 34,649

#4

D E C L A R A T I O N



In the matter of U. S. Patent
Application Ser. No. 10/020,098
in the name of Toshiaki TANAKA

I, Hiroshi YOSHIMOTO, of Kyowa Patent and Law Office, 2-3,
Marunouchi 3-Chome, Chiyoda-Ku, Tokyo-To, Japan, declare and
say:

that I am thoroughly conversant with both the Japanese and
English languages; and

that the attached document represents a true English translation
of United States Patent Application Serial No. 10/020,098 dated
December 18, 2001.

I further declare that all statements made herein of my own
knowledge are true and that all statements made on information
and belief and believed to be true; and further that these
statements were made with the knowledge that willful false
statements and the like so made are punishable by fine or
imprisonment, or both, under Section 1001 of Title 18 of the
United States Code and that such willful false statements may
jeopardize the validity of the application or any patent issued
thereon.

Dated: April 12, 2002

A handwritten signature in cursive script, appearing to read "H. Yoshimoto".

Hiroshi YOSHIMOTO

10020098-051702